



# COMPOUND LOCAL BINARY PATTERN (CLBP) FOR PERSON-INDEPENDENT FACIAL EXPRESSION RECOGNITION

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## ABSTRACT

Automatic recognition or Computer recognition of facial expression is an active analysis topic in computer vision due to its importance in both human-computer and social interaction. One of the most critical issues for a successful facial expression recognition system is to design a robust facial feature descriptor. Among the various existing methods, the Local Binary Pattern (LBP) has been proved to be a simple and effective one for facial expression recognition. However, the LBP method thresholds  $P$  neighbors exactly at the conduct of the center pixel in a local neighborhood and encodes only the signs of the differences between the gray values. Thus, it wastes some important texture information. In this paper, we present a robust facial feature descriptor constructed with the person-independent facial expression recognition based on Compound Local Binary Pattern (CLBP), which overcomes the limitations of LBP. The advanced CLBP operator combines extra  $P$  bits with the starting point of LBP code in order to construct a robust feature descriptor that exploits both the sign and the inclination information of the differences between the center and the neighbor gray values. The recognition performance of the advanced method is estimated database with a Support Vector Machine (SVM) classifier. Experimental results with prototypic expressions display the superiority of the CLBP feature descriptor against some well-known appearance-based feature delegation methods.

**Keywords:** Compound Local Binary Pattern (CLBP), Facial Action Coding System (FACS), Facial Expression Recognition, Feature Descriptor, Local Binary Pattern (LBP), Support Vector Machine, texture encoding.

## I INTRODUCTION

Facial expression contributes a non-verbal form of communication that facilitates the cognition of human emotions (face expression) and intentions. Automated facial expression analysis or computerized facial expression analysis is an interesting task that has attracted much attention in the now have a years due to its potential applicability in various fields, consumer products applicable for such as human computer interaction, data-driven animation, and customized applications. Deriving an efficient and effective feature embodiment that can minimize the within-class



variations while maximizing the between-class variations is the fundamental composing for any successful facial expression recognition system. However, the inherent variability of facial images caused by various factors like variations in illuminates, pose, alignment, and occlusions makes expression recognition a challenging task. Some surveys on facial feature embodiment for face recognition and expression analysis addressed these challenges and possible solutions. Based on the type of features used, expression recognition approaches can be broadly apart into two categories, namely geometric feature-based methods and appearance-based methods. This methods for facial feature extraction were mostly based on the geometric relationships (e.g., positions, distances, and angles) between various facial components. Facial Action Coding System (FACS) is one of the most popular geometric feature-based methods that performs facial expression using a set of Action Units (AU), where each action unit approach to the physical behavior of a particular facial muscle. They employed linear programming in order to achieve at the same time feature selection and classifier training. I have studied facial expression analysis based on followed fiducial point data and reported that, geometric features accommodate similar or good performance than appearance-based methods in action unit recognition.

Appearance-based methods employ image filter or filter bank on the whole face or some particular regions of the facial image in order to extract differences in facial appearance. The common appearance-based methods is used for Principal Component Analysis (PCA) and Independent Component Analysis (ICA). PCA is used only the holistic information of an image, where ICA can also be used to capture local information. In addition analyses or methods, other local appearance-based methods, such as Gabor-wavelets and local feature analyses are also explored in the literature. Now have a days, facial expression analyses based on Local Binary Pattern (LBP). Its variants have gained much popularity for their superior performances. The starting point of LBP operator was introduced for texture analyses. Later this method has been successfully applied in face authentication and facial expression recognition. Although LBP accommodates a theoretically simple and efficient approach to facial expression analyses, it has some limitations. Firstly, it displays poor performance in the existence of random noise. To address this issue, Local Ternary Pattern (LTP) has been commenced with one supplementary discrimination level than LBP in order to increase the robustness against noise in uniform and near-uniform regions. Secondly, LBP method only considers the sign of the difference between two gray values and thus, discards the inclination of the difference which is very important texture information.

In this paper, we present a robustious feature descriptor constructed with the Compound Local Binary Pattern (CLBP), an addition of the LBP for person independent facial expression recognition. Unlike the starting point of LBP operator that uses  $P$  bits to put into code only the signs of the differences between the center pixel and the  $P$  neighbor gray values, the expected method employs  $2P$  bits, where the additional  $P$  bits are used to put into code the inclination information of the differences between the center and the neighbor gray values using a threshold. The motivation behind the expected encoding scheme is to increase the robustness of the feature descriptor by incorporating additional local information that is discarded by the starting point of LBP operator. The performance



of the CLBP feature representation is estimated in terms of classification rate using Support Vector Machine (SVM). The advanced CLBP operator is more robust in extracting facial information and provides higher arrangement rate analyzed to some existing feature representation techniques.

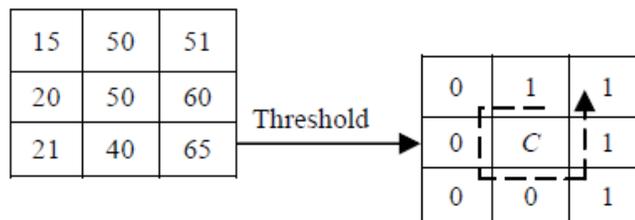
**II LOCAL BINARY PATTERN**

LBP is a gray-scale and revolving invariant texture primitive that represents the spatial structure of the local texture of an image. The LBP operator selects a local neighborhood around each pixel of an image, thresholds the P neighbor gray conducts with respect to the center pixel and concatenates the result binomially. The resulting binary conduct is then assigned to the center pixel. Formally, the LBP operator can be characterize as:

$$LBP_{P,R}(x_c, y_c) = \sum_{p=0}^{P-1} s(i_p - i_c) 2^p \tag{1}$$

$$s(x) = \begin{cases} 1, & x \geq 0 \\ 0, & x < 0 \end{cases} \tag{2}$$

Where  $i_c$  is the gray conduct of the center pixel  $(x_c, y_c)$ ,  $i_p$  is the gray conduct of its neighbors,  $P$  is the number of neighbors and  $R$  is the radius of the neighborhood. The essential process of LBP encoding is illustrated in Fig 1.



**Fig 1. Illustrates of the basic LBP operator. Here, the LBP code = 1000111 for pixel C.**

The LBP operator considers the signs of the alteration of the gray values of P equally spaced neighbors with respect to the central pixel, which is then described using a P-bit binary number. If any neighbor does not fall exactly on a pixel location, then the value of that neighbor is evaluated using bilinear interpolation. The histogram of the put into code image block obtained by applying the LBP operator is then utilized as a texture descriptor for that block. These patterns contain very few bitwise transitions from 0 to 1 or 1 to 0 or vice versa in a ring-shaped sequence or circular sequence of bits. Example of a uniform pattern is 00011111. It has only one transition from 0 to 1 or 1 to 0. The uniform LBP patterns are the fundamental properties of texture, which accommodate a vast majority of all the LBP patterns present-day in any texture image. Therefore, uniform patterns are able to represents significant local texture



information (e.g. bright spot, flat area or dark spot) and edges of varying positive and negative curvature. The essential LBP operator discards the inclination information of the differences between the center and the neighbor gray conducts in a local neighborhood. As a result, the LBP method tends to composed inconsistent codes in many cases. One example is displays in Fig 2. Here, the 8-bit uniform LBP code (11111111) approaches to a flat area or a dark spot at the center pixel, which is not consistent with the local region.

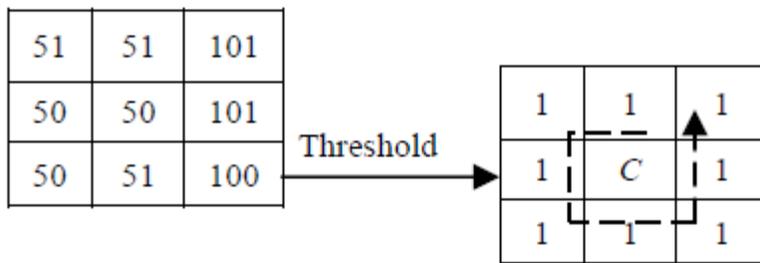


Fig 2. Formation of inconsistent binary pattern in the LBP encoding approach

### III COMPOUND LOCAL BINARY PATTERN

#### 3.1. Basic CLBP Encoding Method

The starting point of LBP encoding scheme considers only the sign of the difference between two gray conducts and thus, it often fails to binary accomplish codes consistent with the texture property of a local region. Unlike the LBP operator that employs one bit for each neighbor to described only the sign of the difference between the center and the complementary neighbor gray conducts, the advanced method uses two bits for each neighbor in order to put on code the sign as well as the inclination information of the difference between the center and the neighbor gray conducts. Here, the first bit describes the sign of the difference between the center and the complementary neighbor gray conducts like the basic LBP encoding. The other bit is used to put into code the inclination of the difference with respect to a threshold value, which is the average inclination  $M_{avg}$  of the difference between the center and the neighbor gray conducts in the local neighborhood of interest. The CLBP operator sets this bit to 1 if the inclination of the difference between the center and the complementary neighbor is greater than the threshold  $M_{avg}$ . Otherwise, it is set to 0. Thus, the symbol  $s(x)$  of equation 2 is replaced by the following function:

$$s(i_p, i_c) = \begin{cases} 00 & i_p - i_c < 0, & |i_p - i_c| \leq M_{avg} \\ 01 & i_p - i_c < 0, & |i_p - i_c| > M_{avg} \\ 10 & i_p - i_c \geq 0, & |i_p - i_c| \leq M_{avg} \\ 11 & otherwise \end{cases} \quad (3)$$



Where,  $i_c$  is the gray conduct of the center pixel,  $i_p$  is the gray conduct of a neighbor  $p$ , and  $M_{avg}$  is the average inclination of the difference between  $i_p$  and  $i_c$  in the local neighborhood.

From Fig 3, it can be observed that, unlike the LBP encoding displays in Fig 2, the advanced CLBP method discriminates the neighbors in the some directions (e.g. north-east, east, and south-east directions) as they have higher gray conducts than the other neighbors.

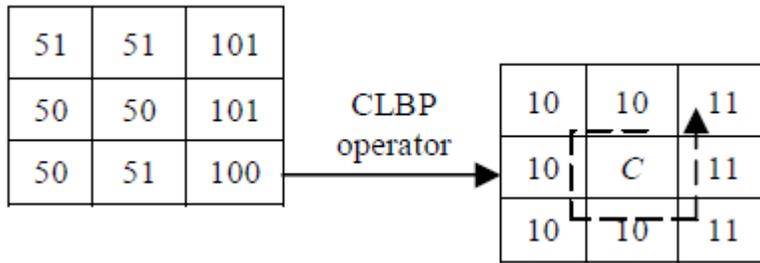
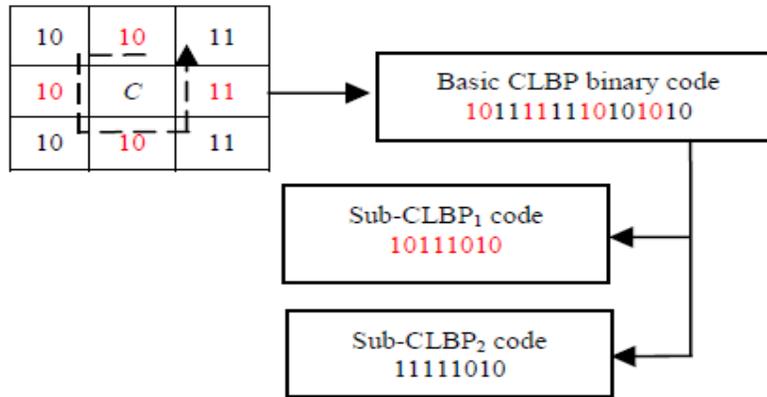


Fig 3. Illustration of the essential CLBP operator. Here, the CLBP code = 10101010111111 for pixel C.

### 3.2 Generation of SUB-CLBP Codes

In a 3x3 neighborhood, the advanced CLBP method to put into codes an image by operating on the 8 neighbors about the central pixel and assigning a 16-bit code to that pixel. As 16-bit codes are utilized to character the pixels, the number of available binary patterns is  $2^{16}$ . To reduce the number of features, This expected to consider less number of neighbors while forming the binary patterns. Thus, the feature vector of length can be reduced by discarding some degree of neighborhood information. In this paper, we have presented a various approach where all the CLBP binary patterns are further separation into two sub-CLBP patterns. Each sub-CLBP pattern is obtained by concatenating the bit conducts complementary to P/2 neighbors, where P is the number of neighbors. The 16-bit CLBP pattern is separation into two 8-bit sub-CLBP patterns, where the first one sub-CLBP<sub>1</sub> is obtained by concatenating the bit values complementary to the neighbors in the various direction (e.g. north, east, south, and west directions), respectively and the second sub-CLBP pattern sub-CLBP<sub>2</sub> is obtained by concatenating the bit values complementary to the neighbors in the some directions (e.g. north-east, south-east, south-west, and north-west directions), respectively. The process is illuminated in Fig 4. The two sub-CLBP patterns are treated as separate binary codes and joined during the feature vector formation.



**Fig 4. Formation of the two sub-CLBP patterns 10111010 and 11111010 from the starting point of CLBP code 1011111110101010**

### 3.3. Compound Local Binary Pattern Feature Descriptor

After assigning the CLBP operator on all the pixels of an image and splitting all the 16-bit CLBP patterns into the complementary sub-CLBP patterns, we get two 8-bit binary codes for each pixel of the image. Thus, two encoded image embodiment are obtained for the two sub-CLBP patterns. Histograms accomplish from these two encoded images are then concatenated to form a spatially joined histogram, the CLBP histogram, which functions as a feature delegation for the expression image. Fig 5 illustration the CLBP histogram formation process from a sample expression image.

Histograms accomplished from the whole encoded image contain no locality information of the micro-patterns, but merely their occurrences are declared. Therefore, the CLBP histogram is converted to an extended histogram in order to incorporate some degree of locality information. First, each image is partitioned into a number of regions and individual CLBP histograms are accomplish from each of those regions. The histograms of all the regions are concatenated to access the extended CLBP histogram. The extended histogram formation process is display in Fig 6.

## IV CLASSIFICATION USING SUPPORT VECTOR MACHINE (SVM)

SVM is a state-of-the-art the modern statistical learning theory for machine learning approach. It has been successfully activated in various classification problems. This separating hyper plane then entirety as the decision surface. SVM accomplishes binary decisions. To performs multi-class arrangement, the common approach is to adopt the one-against-rest or several two-class problems. In our study, we utilized the one-against-rest. SVM is a liner machine which is able to abstracted positive and negative examples using decision surface composed by an optimal separating hyper plane. In theory, SVM performs good generalization performance by producing zero

training-error rates. While training SVM, the most important region in the training data space is the space about the decision hyper plane, since that is where mistakes generally occur. Samples that are further away from the hyper plane play less cogent roles in the training process. Thus for the training data, we first count the SIFT features of all the persons. And then the features of the same person are attend as the same classification (class), with each characterization containing only similar feature vectors. We allotment all the vectors into two groups, one is training set and the other is testing set. The SVM is then trained the decision surface that maximizes the margin of the two sets.

### V EXPERIMENTAL SETUP

The recognition ability of the advanced method was evaluated based on a set of prototypic emotional expressions, which accommodates anger, disgust, fear, joy, sadness, and surprise. This class expression can be set by compute neutral face expression images. The performance evaluation was achieved with two well-known image databases. A series of facial expression shows were performed by the subjects original point from neutral or near neutral to one of the six prototypic emotional expressions stated before. The image sequences were digitized into 400×480 or 550×600 pixel resolution. In our setup, we first selected 1000 face image sequences from a total of 100 subjects, where each of the images was characterized as one of the six prototypic expressions.

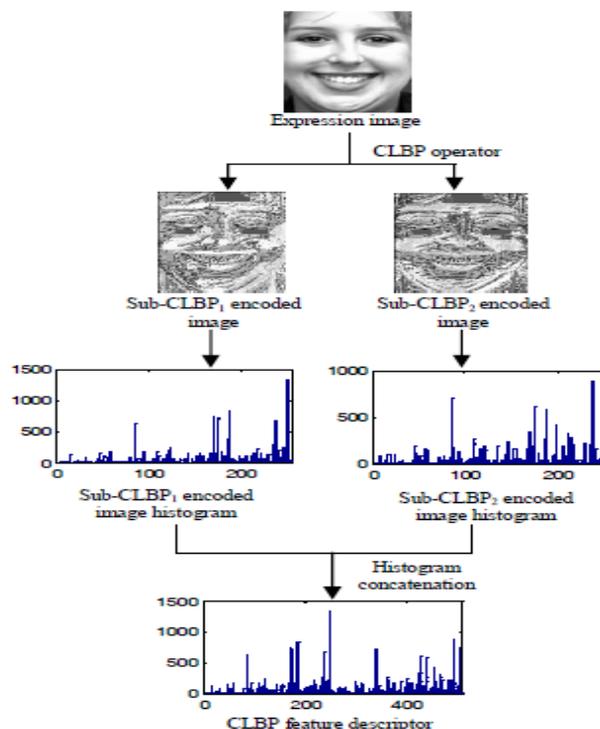


Fig 5. Illustrates of the CLBP histogram (feature descriptor) generation process

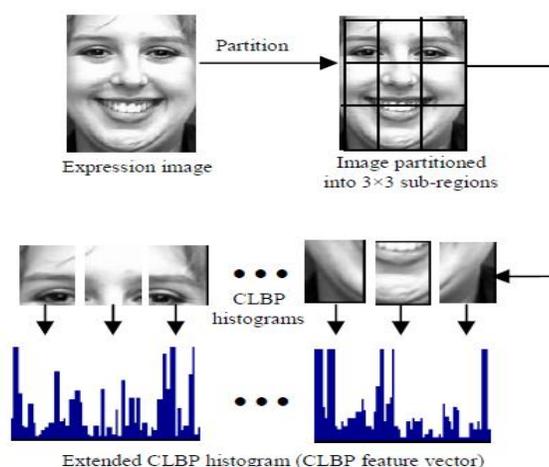
All the images were computerized into a resolution of 250×250 pixels. The images were appropriated from a frontal pose, and the subjects' hair was tied back in order to expedite the exposure of all the expressive zones of the face. In the image scene, an even brightening was created using tungsten lights. In our setup, the class expression dataset compose a total of 200 images, while the class expression set accommodates additional 50 neutral expression images. Fig 7 shows the sample prototypic expression images

The selected images were clipped from the original ones based on the location of the two eyes and normalized to 150×100 pixels. The ground-truth of eye location data was provided for clipping. No alignment of facial features (such as alignment of mouth) was achieved in our setup. Fig 8 shows a sample clipped facial image database.

## VI RESULTS AND DISCUSS

To estimate the effectiveness of the advanced method, we carried out a ten-fold cross-validation scheme to measure the classification rate. In a ten-fold cross-validation, the whole dataset is randomly partitioned into ten subsets, where each subset compose an equal number of representative. After that, one subset is used as the testing set and the classifier is trained on the pausing nine subsets. The average classification rate is determined after copying the above process for ten times. The classification rate of the advanced method can be changed by adjusting the number of regions into which the expression images are to be partitioned.

The performance of the CLBP feature descriptor is also balanced with some widely-used facial feature delegation approaches, namely LBP and LTP. The CLBP feature descriptor accomplish an excellent recognition accuracy of 95.0% for the class expression dataset. The reason is that, In this case more sample expressions are confused as neutral expression.



**Fig 6. Each expression image is partitioned into a number of sub-regions, and the individual CLBP histograms accomplished from each of the sub-regions are concatenated to form the CLBP feature vector.**



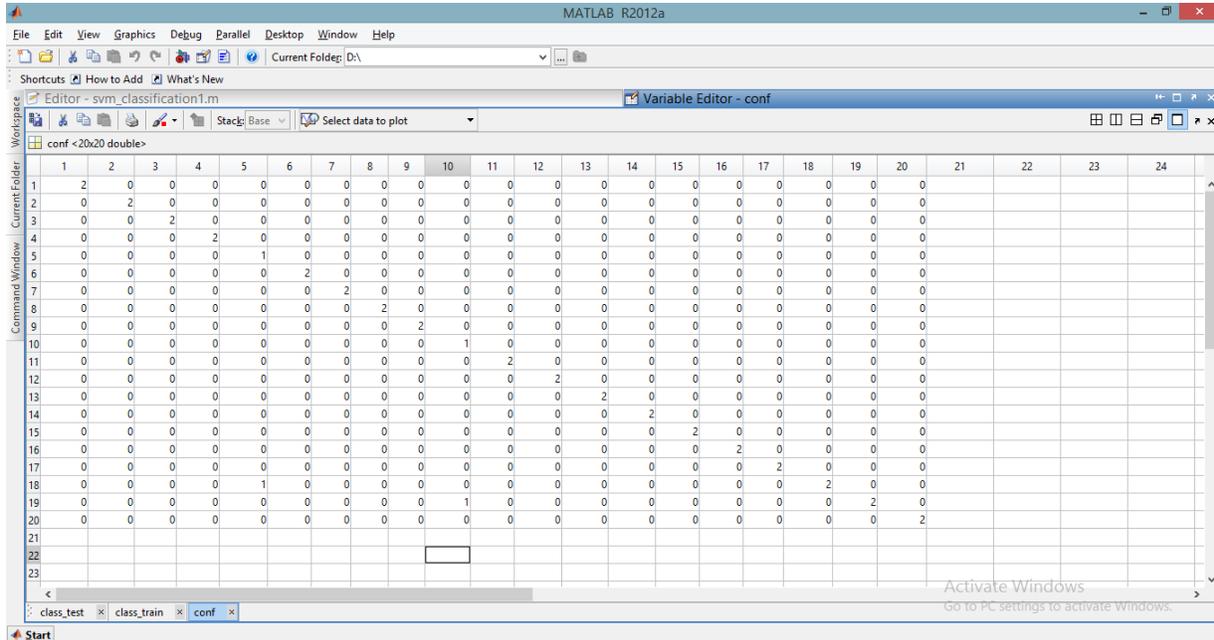
Fig 7. Sample images of each prototypic expressions.



Fig 8. Clipping of a sample face image from the original one.

It can be observed that, both LTP and CLBP performs better recognition rate than LBP. Table 1 shown the confusion matrix of recognition using CLBP feature descriptor for the class dataset, respectively in order to accommodate a better picture of the recognition accurateness of individual expression types for images partitioned into  $5 \times 5$  regions. We have also in comparison our method some other appearance-based feature descriptors, namely Gabor features, LBP features and LDP features. Table 2 shows the recognition rate of these methods for the class expression dataset. From the experimental results, it can be said that, facial feature embodiment based on the CLBP is more robustious and provides higher classification rate than some existing feature embodiment methods, even with low resolution images. Thus, this method achieves an effective and efficient approach to person-independent facial expression recognition.

**Table 1: Confusion matrix of recognition using CLBP feature descriptor for the class dataset.**



**Table 2: Comparison of the performance of the different method**

Features descriptor	Recognition rate (%)	
	Previous paper	Obtained result
Gabor	89.2	96.0
LBP	94.2	95.0
LDP	92.7	97.0
CLBP	94.2	96.1

**VII CONCLUSIONS**

The compound local binary pattern by new local texture pattern, and a feature descriptor constructed with the CLBP codes have been composed for facial expression recognition. The advanced method utilizes an encoding scheme that combines the inclination information of the difference between two gray values with the starting point of LBP pattern and thus provides increased robustness in various condition where LBP fails to generate consistent codes. Experimental results display that, the CLBP operator provides an effective and efficient approach for facial feature embodiment with high discriminate ability, which outperforms several existing feature delegation methods. This



paper is result good better than in previous result. In future, we plan to incorporate temporal information with the CLBP method to recognize in sequence images for facial expressions.

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